#### Attorney Docket No. **UTILITY PATENT APPLICATION** APPT-001-1-1 **TRANSMITTAL** First Inventor Russell S. Dietz METHOD AND APPARATUS FOR MONITORING (New Nonprovisional Applicati ns Under 37 CFR § TRAFFIC IN A NETWORK 1.53(b)) Express Mail Label No. EV325162991US Mail Stop Patent Application **ADDRESS TO:** APPLICATION ELEMENTS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 1. E Fee transmittal form (in duplicate) 7. CD-ROM in duplicate, large table, or computer program (Appendix) Applicant(s) claim(s) a small entity status. 8. Nucleotide &/or amino acid sequence submission 3. X 67 sheet(s) of specification, claims, and abstract **ACCOMPANYING APPLICATION PARTS** \_\_ sheet(s) of formal Drawing(s) with a 9. Assignment papers (cover sheet & documents) submission letter to the Official Draftsperson 10. D 37 CFR 3.73(b) statement. Power of 5. Declaration and Dower of Attorney Attorney a. Newly executed (original or copy) 11. English translation document. b. X Copy from prior application for continuing Information Disclosure Statement (Form PTO-1449) application with box 18 completed and copies of IDS citations. i. DELETION OF INVENTOR(S) 13. X Preliminary Amendment. signed statement attached deleting inventor(s) named in the prior application. 14. X Return Receipt postcard. 6. Application Data Sheet 15. Certified copies of priority documents. 16. X Request and certification under 35 USC 122 (b)(2)(B)(i). 17. X Other: List of inventors, with residence city, state/country and citizenship for each 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: of prior application no: Continuation . Divisional. ☐ Continuation in part (CIP). Prior application information. Examiner: Khanh Q. DINH Group Art Unit: 2155 For CONTINUATION OR DIVISIONAL APPLICATIONS ONLY: the entire disclosure of the prior application, from which an oath or declaration is supplied under item 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS 21921. X Customer Number: (Name: Dov Rosenfeld, INVENTEK) Name: Dov Rosenfeld, Registration. No.: 38687 Signature: Date: Oct 13th, 2003 Certificate of Mailing under 37 CFR 1.10 I hereby certify that this application and all attachments are being deposited with the United States Postal Service as Express Mail (Express Mail Label: EV325162991US in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on. 15,2003 Signed: Name: Dov Rosenfeld, Reg. No. 38687

| FEE TRANSMITTAL  (New Nonprovisional Applications Under 37 CFR § 1.53(b)) |                    | Attorney Docket No. AF   |           | APF     | PT-001-1-1 |                               |
|---|--------------------|--------------------------|-----------|---------|------------|-------------------------------|
|   |                    | First Inventor Russell S |           | II S. D | ietz       |                               |
|   |                    | Title                    |           | OD AND  |            | ARATUS FOR MONITORING<br>VORK |
| TOTAL PAYMENT   | \$1292.00 \$750.00 | Expres                   | ss Mail L | abel No | •          | EV325162991US                 |

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|                              | METHOD OF PAYMENT   |             |       |  |  |
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| 1. X The co                  | 1. X The commissioner is hereby authorized to charge any missing fees and credit any overpayment to |             |       |  |  |
| Deposit<br>Account<br>Number | 50-0292   |             |       |  |  |
| Deposit<br>Account<br>Name   | Account INVENTEK/ROSENFELD  |             |       |  |  |
| Applicant                    | Applicant(s) claim(s) a small entity status.  |             |       |  |  |
| 2. X Payment is enclosed:    |   |             |       |  |  |
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|                       |                        | FEE CALCULATION |                  |            |
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|                       | CLAIMS AS FILED        |                 | OTHER THAN SMALL | ENTITY     |
| FOR                   | NO. FILED              | NO. EXTRA       | RATE             | FEE        |
| Total Claims          | 49                     | 29              | \$18.00          | \$ 522.00  |
| Independent<br>Claims | 3                      | 0               | \$86.00          | \$ 0.00    |
| Multiple Dependent    | Claims (if applicable) |                 |                  | \$0.00     |
| Assignment Record     | ding Fee               |                 |                  | \$0.00     |
| Basic Filing Fee      |                        |                 |                  | \$770.00   |
|                       | -                      |                 | Total Filing Fee | \$1,292.00 |

| SUBMITTED BY                 |        |                    |                     |       |  |
|------------------------------|--------|--------------------|---------------------|-------|--|
| ⊠ Customer Number:           | 21921. | (Dov Rosenfeld, II | NVENTEK)            |       |  |
| Name:                        | Dov Ro | senfeld,           | Registration. No. : | 38687 |  |
| Signature: Date: Oct 13,2003 |        |                    |                     |       |  |

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| INVENTOR(S)/APPLICANT(S)  (New Nonprovisional Applications Under 37  CFR § 1.53(b)) | Attorne        | y Dock   | et No.              | APPT-001-1-1                          |
|---|----------------|----------|---------------------|---------------------------------------|
|   | First Inventor |          | or Russell S. Dietz |                                       |
|   | Title          |          |                     | ) APPARATUS FOR MONITORING<br>NETWORK |
|   | Expres         | s Mail L | abel No             | EV325162991US                         |

| Last Name   | First Name, MI | Residence (City and Either State or Foreign Country) | Citizenship |
|-------------|----------------|--|-------------|
| Dietz       | Russell S.     | San Jose, California, USA                            | US          |
| Maixner     | Joseph R.      | Aptos, California, USA                               | US          |
| Koppenhaver | Andrew A.      | Littleton, CO, USA                                   | US          |
| Bares       | William H.     | Germantown, TN, USA                                  | US          |
| Sarkissian  | Haig A.        | San Antonio, Texas, USA                              | US          |
| Torgerson   | James F.       | Andover, MN, USA                                     | US          |

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Dietz, et al.

Title: METHOD AND APPARATUS FOR

MONITORING TRAFFIC IN A NETWORK

Group Art Unit: unassigned

Examiner: unassigned

# LETTER TO OFFICIAL DRAFTSPERSON SUBMISSION OF FORMAL DRAWINGS

The Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

ATTN: Official Draftsperson

Dear Sir or Madam:

Attached please find <u>18</u> sheets of formal drawings to be made of record for the above-identified patent application submitted herewith.

Respectfully Submitted,

Oct 13,2003

Date

Dov Rosenfeld, Reg. No. 38687

Address for correspondence and attorney for applicant(s):

Dov Rosenfeld, Reg. No. 38,687 5507 College Avenue, Suite 2

Oakland, CA 94618

Telephone: (510) 547-3378; Fax: (510) 653-7992

### Certificate of Mailing under 37 CFR 1.10

I hereby certify that this application and all attachments are being deposited with the United States Postal Service as Express Mail (Express Mail Label: <u>EV325162991US</u> in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 2003-1450 on.

Date: Oct \$ 2003

\_\_\_\_\_

Signed:

Name: Dov Rosenfeld, Reg. No. 38687



**TOTAL PAYMENT** 

### Attorney Docket No. APPT-001-1-1 **FEE TRANSMITTAL** First Inventor Russell S. Dietz (New Nonprovisional Applications Under 37 CFR § 1.53(b)) METHOD AND APPARATUS FOR MONITORING Title TRAFFIC IN A NETWORK \$1292.00 \$75000

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|                              | METHOD OF PAYMENT   |               |         |  |  |
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| 1. X The cor                 | 1. X The commissioner is hereby authorized to charge any missing fees and credit any overpayment to |               |         |  |  |
| Deposit<br>Account<br>Number | 50-0292   |               |         |  |  |
| Deposit<br>Account<br>Name   | Account INVENTER/ROSENFELD  |               |         |  |  |
| Applicant                    | Applicant(s) claim(s) a small entity status.  |               |         |  |  |
| 2. X Payment is enclosed:    |   |               |         |  |  |
| ☐ check                      | credit card. (Credit Card Charge form enclosed)   | ☐ Money order | ☐ Other |  |  |

|                       |                          | FEE CALCULATION |                  |            |
|-----------------------|--------------------------|-----------------|------------------|------------|
|                       | CLAIMS AS FILED          |                 | OTHER THAN SMALL | ENTITY     |
| FOR                   | NO. FILED                | NO. EXTRA       | RATE             | FEE        |
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| Basic Filing Fee      |                          |                 |                  | \$770.00   |
|                       |                          |                 | Total Filing Fee | \$1,292.00 |

| SUBMITTED BY                                      |  |  |  |  |  |
|---|--|--|--|--|--|
| Customer Number: 21921. (Dov Rosenfeld, INVENTEK) |  |  |  |  |  |
| Name: Dov Rosenfeld, Registration. No. : 38687    |  |  |  |  |  |
| Signature: Date: Oct 13,2003                      |  |  |  |  |  |